



# DEPARTMENT OF EDUCATION

## 2011-12 Contracts for Excellence Proposed Plan



**Department of  
Education**

*Dennis M. Walcott, Chancellor*

# Contracts for Excellence Overview

## Funds must support specific program initiatives:

- *Class Size Reduction*
- *Time on Task*
- *Teacher & Principal Quality Initiatives*
- *Middle & High School Restructuring*
- *Full-Day Pre-Kindergarten Programs*
- *Model Programs for English Language Learners*

## Funds must go to students with the greatest educational need:

- *English Language Learners*
- *Students in Poverty*
- *Students with Disabilities*
- *Students with Low Academic Achievement or At Risk of Not Graduating*

The FY12 C4E allocations described here are preliminary. As per FY12 C4E Regulations, New York City's C4E plan is subject to a public engagement process and approval by the State Education Department.



Department of  
Education

Dennis M. Walcott, Chancellor

# Contracts for Excellence 2011-2012

The Governor's 2011-12 Approved Budget states that “school districts that submitted a contract for excellence for the two thousand nine—two thousand ten school year, unless all schools in the district are identified as in good standing, shall submit a contract for excellence for the two thousand eleven—two thousand twelve school year”. Districts are required to reduce their FY12 C4E amounts by the “gap elimination adjustment”, which for New York City is 17.53%. New York City is allowed to take this reduction from Maintenance of Effort funds established in year 1 of C4E. This permits schools to receive the same discretionary allocation given in FY11.

New York State held foundation aid flat between FY09 and FY10, and as of June 27<sup>th</sup> 2011, when FY12 school budgets were allocated, projected no increase in foundation aid for this year. This means that for the current year, FY12, there are no new Contract for Excellence funds to apply towards new or expanded programs. As a result, NYCDOE is in “maintenance of effort” status, meaning that C4E funds will be used to maintain programs that were approved in prior years.

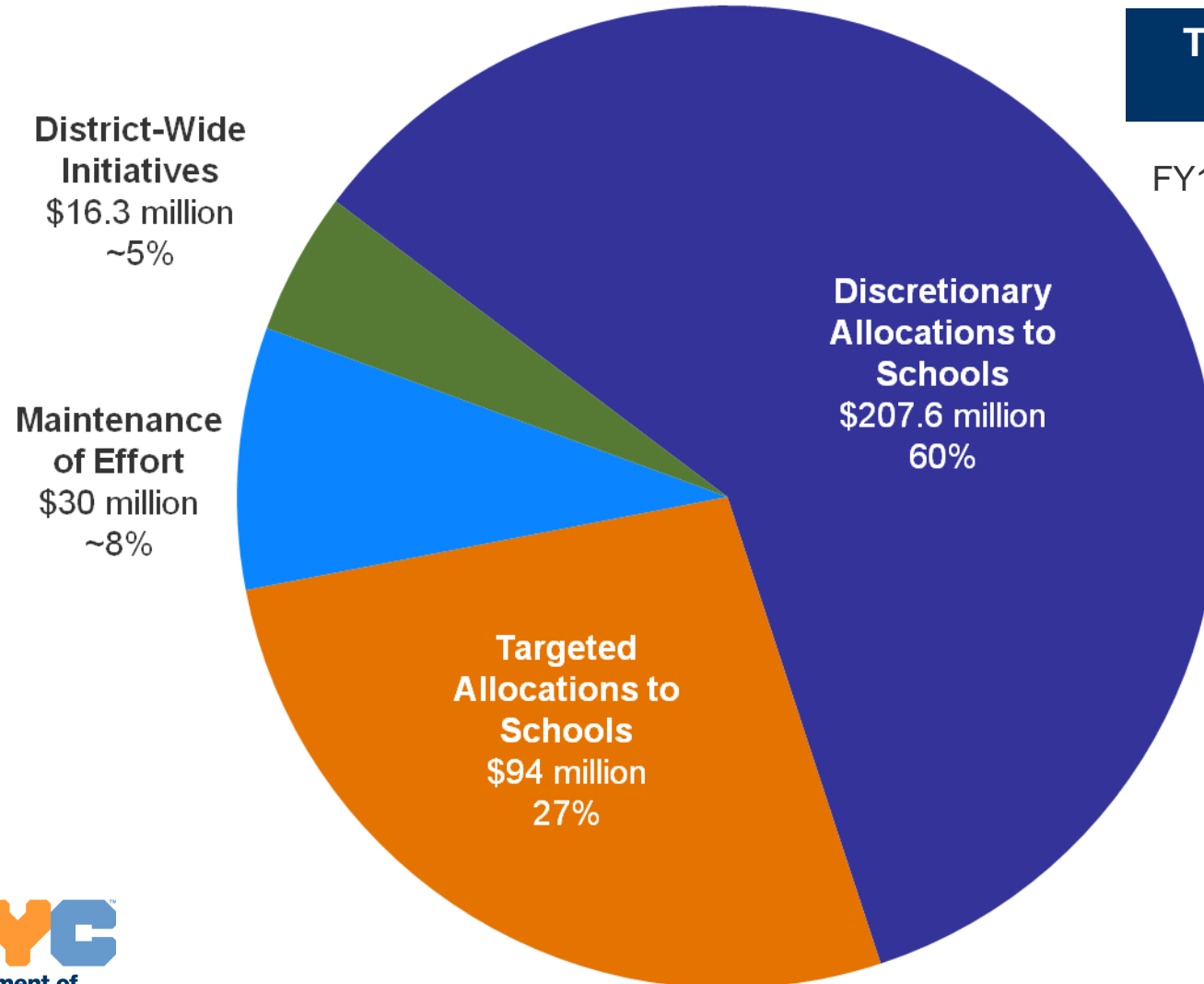
It is important to note, even though NYCDOE has not received additional C4E funding over the past 3 years, schools will be faced with the challenge of fully maintaining the programs and/or class-size impacts achieved under C4E in prior budget years.

# NYC's 2011-12 Citywide C4E Plan: By Allocation Type

## Allocation of Funds

**Total FY12 Contract:  
\$348 million**

FY10 Contract = \$387 million



Department of  
Education

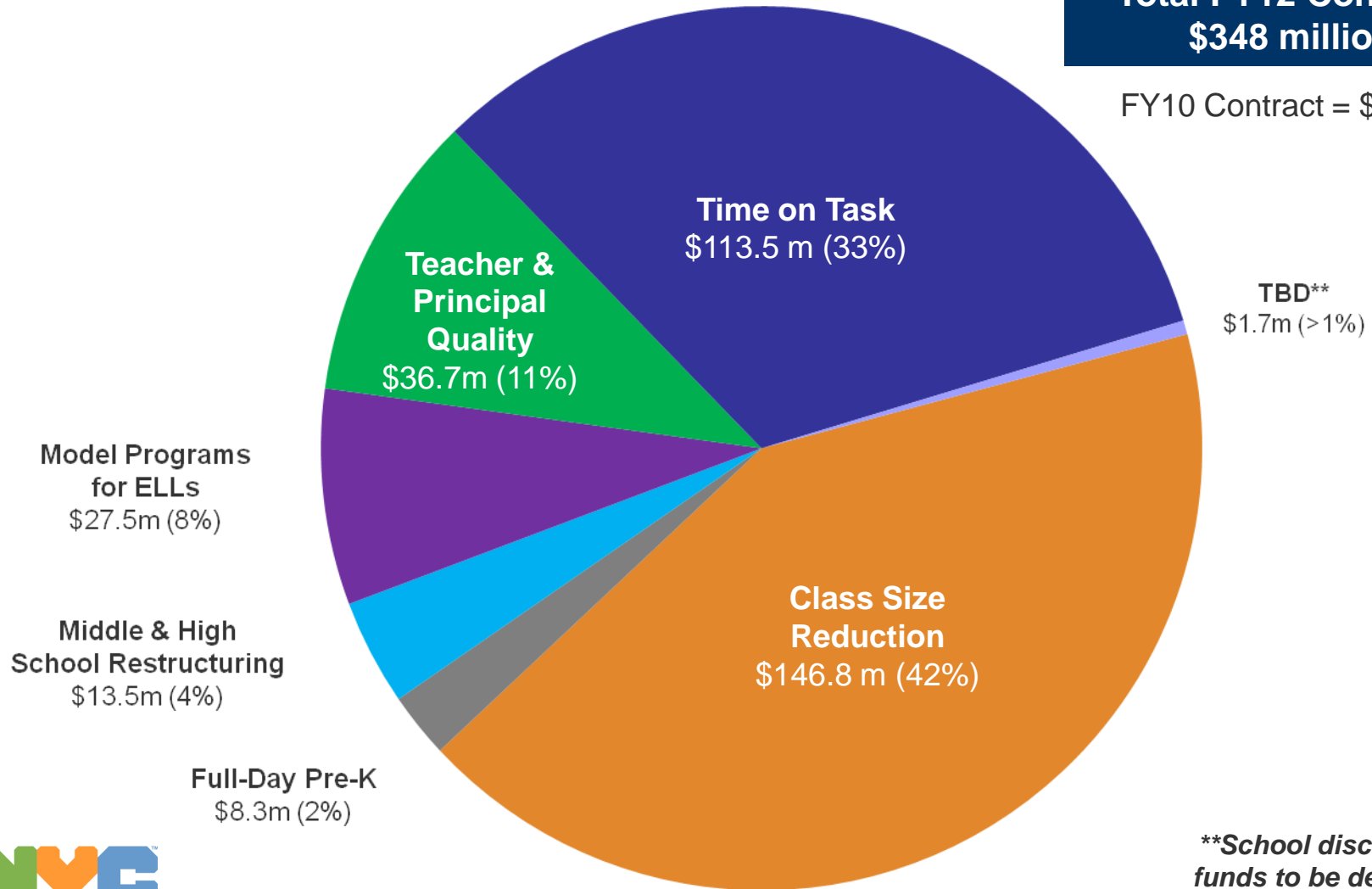
Dennis M. Walcott, Chancellor

# 2011-12 Citywide C4E Plan: All Funds By Program Area

By Program Area\*

**Total FY12 Contract:  
\$348 million**

FY10 Contract = \$387 million



**\*\*School discretionary funds to be determined**



**Department of  
Education**

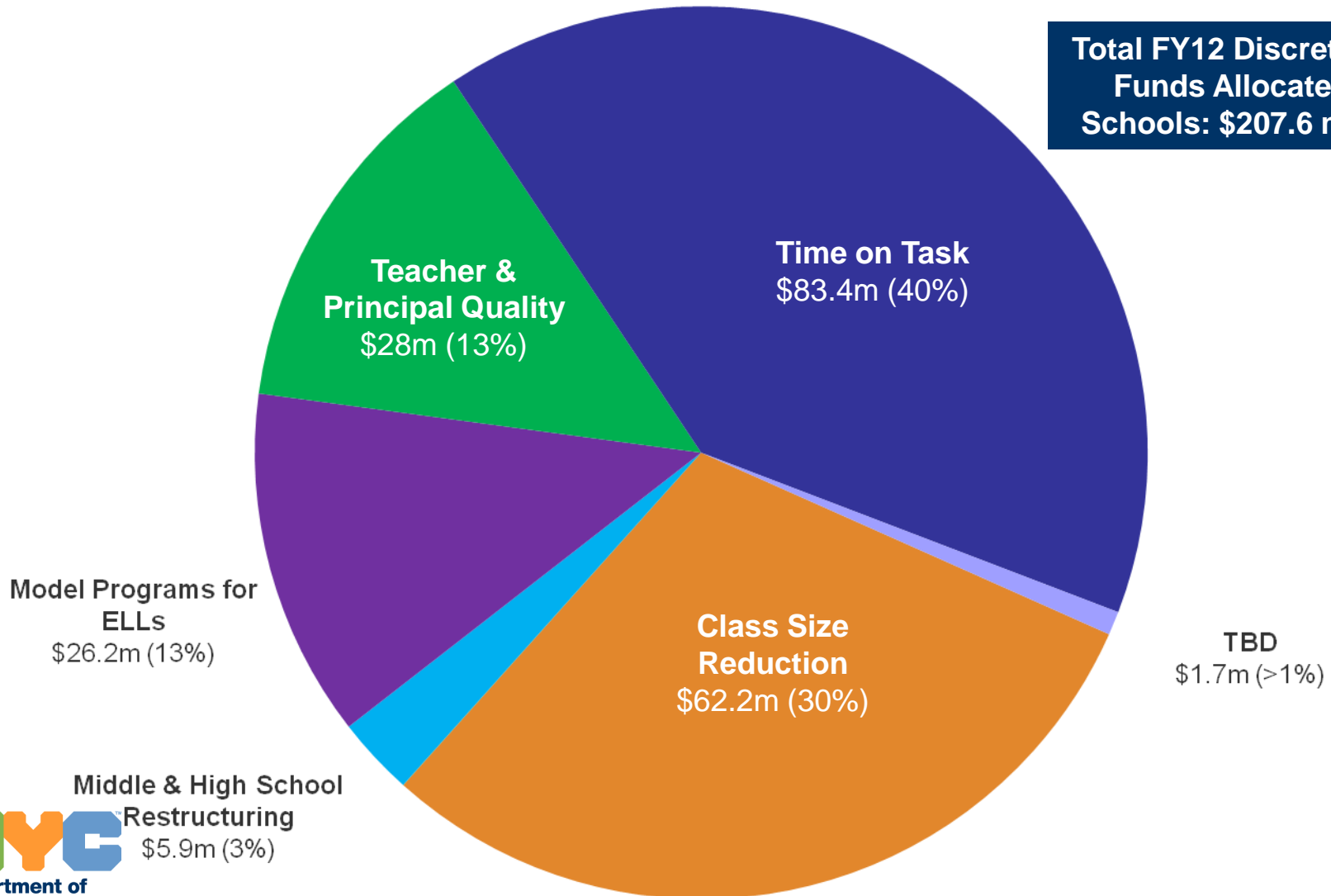
Dennis M. Walcott, Chancellor

\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.

# All Proposed Discretionary Spending: By Program Area

By Program Area\*

Total FY12 Discretionary  
Funds Allocated to  
Schools: \$207.6 million



Department of  
Education

Dennis M. Walcott, Chancellor

\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.

## Discretionary Allocations to Schools

Amount	<p><b>\$207.6 million</b> in restricted Contracts for Excellence funds were released to 1,400+ schools in June 2011.</p> <p><b>60%</b> of total Contract amount</p>
Description	<p>Schools should use Contracts for Excellence funds to establish continuity of service for existing C4E programs.</p> <p>However, if a school cannot maintain effort due to significant changes in its student population or its overall instructional strategy, it could choose to reallocate funds to a different allowable program area.</p>



## Targeted Allocations to Schools

### Amount

About **\$94 million** of C4E funds were targeted for specific programs. Schools receiving allocations were chosen based on a) overall student need and b) capacity to carry out the specific programs.

**27%** of total Contract amount

### Description

Funds allocated by the DOE directly to schools for specific uses that are eligible within the C4E programs areas:

- *\$80 million – Collaborative Team Teaching (CTT) Classrooms*
- *\$8.2 million – Full-Day Pre-K*
- *\$4.7 million – Autism Spectrum Disorder (ASD) Classrooms*
- *\$1.9 million – ELL Summer School*

Schools that received “targeted” C4E allocations in 2009-10 received those allocations again in 2011-12 as long as they retained the population necessary to maintain effort.

For example, if a school was funded to support 10 new CTT students in 2009-10 and has a net loss of 8 CTT students in 2011-12, that school will receive a lower FY12 C4E CTT allocation than it received in FY10.





## District-Wide Initiatives

Amount	<p><b>\$16.3 million</b> is our estimate in this category. While these funds will not appear on schools' budgets, the Department is required to attribute dollars to programs that support the neediest students as part of the DOE's citywide C4E plan.</p> <p><i>5% of total Contract amount</i></p>
Description	<p>Funds allocated to Central programs that directly benefit high-need schools.</p> <ul style="list-style-type: none"> <li>▪ <i>\$6.6 million – Multiple Pathways to Graduation Initiatives (for over-age and under-credit students)</i></li> <li>▪ <i>\$8.6 million – Principal Training Initiatives</i></li> <li>▪ <i>\$1 million – College and AP Prep for High Need 9-12 Students</i></li> <li>▪ <i>\$75,500 – ELL Youth Institute</i></li> </ul>

## Maintenance of Effort

Amount	<p><b>\$30 million</b></p> <p><i>8% of total Contract amount</i></p>
Description	<p>The Department proposes to spend these funds to maintain summer programs impacting the students with the lowest academic achievement in the city.</p>



# Proposed Discretionary Spending – District 26\*

Schools in this district were allocated discretionary Contracts for Excellence funds. Schools have proposed to spend those funds as follows:

C4E Program Area	Amount Budgeted	% Total
Class Size Reduction	1,233,876	48%
Full Day Pre-K		0%
Middle School & High School Restructuring		0%
Model Programs for ELLs	77,530	3%
Teacher & Principal Quality Initiatives	217,724	9%
Time on Task	1,024,432	40%
<b>Grand Total</b>	<b>2,553,563</b>	<b>100%</b>

Comprehensive information about these proposed allocations – including school-level program strategies and performance targets - are available online at:

<http://schools.nyc.gov/AboutUs/funding/c4e/default.htm>



Department of  
Education

Dennis M. Walcott, Chancellor

\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.

# 11-12 C4E Plan: All Funds By Program Strategy\*

	Citywide Total	CEC 26 Total	CEC 26 % Total	
<b>Class Size Reduction</b>	Maintain Class Size	\$4.8 M	50,904	2%
	Maintain PTR	\$3.2 M		
	Minimize Class Size Growth	\$1.2 M		
	Reduced Class Size	\$42.8	1,182,972	46%
	Reduced PTR	\$10.1 M		
	Team Teaching Strategies	\$84.6 M		
	<b>Total</b>	<b>\$146.8 M</b>	<b>1,233,876</b>	<b>48%</b>
<b>Time on Task</b>	Before & After School	\$3.5 M		
	Dedicated Instruction	\$77.3 M	1,024,432	40%
	Individualized Tutoring	\$2.4 M		
	Summer School	\$30.1 M		
	<b>Total</b>	<b>\$113.4 M</b>	<b>1,024,432</b>	<b>40%</b>
<b>Teacher and Principal Quality Initiatives</b>	Leadership Coaches	\$10.5 M		
	Mentoring for New Staff	\$2.8 M		
	Recruit & Retain HQT	\$1.7 M		
	Teacher Coaches	\$ 21.7 M	217,724	9%
	<b>Total</b>	<b>\$36.7 M</b>	<b>217,724</b>	<b>9%</b>



Department of  
Education

Dennis M. Walcott, Chancellor

\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.

# 11-12 C4E Plan: All Funds By Program Strategy\*

		Citywide Total	CEC 26 Total	CEC 26 % Total
Middle & High School Restructuring	MSHS Instructional Changes	\$10.9 M		
	MSHS Structural Changes	\$2.6 M		
	<b>Total</b>	<b>\$13.5 M</b>		
Full-Day Pre-K	<b>Total</b>	<b>\$8.3 M</b>		
Model Programs for ELLs	ELL Innovative Programs	\$27.1 M	77,530	3%
	ELL Parent Involvement	\$257 K		
	ELL Teacher Recruitment	\$96 K		
	<b>Total</b>	<b>\$27.5 M</b>	<b>77,530</b>	<b>3%</b>
Targeted Allocations	CTT, ASD, Summer ELL, Pre-K		1,316,423	

**\*\* Targeted allocations + school-level discretionary allocations  
 (\$1.76 million in discretionary funds still to be determined by schools)**

**\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.**

## Special Note: Class Size Reduction

- As teacher salaries rise, more funds are required to maintain the same number of teachers as last year.
- Due to the cuts in FY12 C4E, schools received less discretionary C4E than in prior years and may not be able to maintain or increase the amount budgeted for class size reduction. Register changes and average teacher salary increases may also result in slight increases in class size or pupil teacher ratio.
- The severe economic downturn faced by the state and the nation required cuts to schools, causing a large number of schools to eliminate teaching positions which could also impact class size.



## Special Note: Class Size Reduction Planning

- Due to major fiscal changes and challenges since the induction of C4E, NYCDOE and SED are having ongoing communication about NYCDOE's class size requirements
- In light of the current global and local financial constraints, it is necessary to reevaluate the original 5 year Class Size Reduction Plan that was first introduced in 2007. That plan was predicated on an increase in Contract for Excellence funds year over year which has not been realized.
- With the guidance and approval of the State Education Department, the NYCDOE has remained committed to monitoring class size through a cross section of schools that represent high class sizes and low performance.

# Public Comment

We will take public feedback into account in the coming month as we continue to develop a citywide Contracts for Excellence plan.

The deadline for public comment is **November 23** (allowing over 30 days from the date that the comprehensive 2011-12 proposed plan was posted to the Department's website).

The public may comment on any aspect of the plan, including:

- How schools are planning to spend their discretionary funds within the six allowable program areas
- How the DOE is allocating targeted Contract funds to schools
- How the DOE is allocating funds for District-Wide Initiatives
- NYC's Class Size Reduction plan
- The public comment process itself

Educators, parents, and all other members of the New York City community with feedback should e-mail us at [ContractsForExcellence@schools.nyc.gov](mailto:ContractsForExcellence@schools.nyc.gov)